

107TH CONGRESS
2D SESSION

S. 2945

To authorize appropriations for nanoscience, nanoengineering, and nanotechnology research, and for other purposes.

IN THE SENATE OF THE UNITED STATES

SEPTEMBER 17, 2002

Mr. WYDEN (for himself, Mr. LIEBERMAN, Mr. ALLEN, Ms. LANDRIEU, and Mrs. CLINTON) introduced the following bill; which was read twice and referred to the Committee on Commerce, Science, and Transportation

A BILL

To authorize appropriations for nanoscience, nanoengineering, and nanotechnology research, and for other purposes.

1 *Be it enacted by the Senate and House of Representa-*
2 *tives of the United States of America in Congress assembled,*

3 **SECTION 1. SHORT TITLE.**

4 This Act may be cited as the “21st Century
5 Nanotechnology Research and Development Act”.

6 **SEC. 2. FINDINGS.**

7 The Congress makes the following findings:

8 (1) The emerging fields of nanoscience and
9 nanoengineering (collectively, “nanotechnology”), in

1 which matter is manipulated at the atomic level (i.e.,
2 atom-by-atom or molecule-by-molecule) in order to
3 build materials, machines, and devices with novel
4 properties or functions, are leading to unprecedented
5 scientific and technological opportunities that will
6 benefit society by changing the way many things are
7 designed and made.

8 (2) Long-term nanoscale research and develop-
9 ment leading to potential breakthroughs in areas
10 such as materials and manufacturing, electronics,
11 medicine and healthcare, environment, energy,
12 chemicals, biotechnology, agriculture, information
13 technology, and national security could be as signifi-
14 cant as the combined influences of microelectronics,
15 biotechnology, and information technology on the
16 20th century. Nanotechnology could lead to things
17 such as—

18 (A) new generations of electronics where
19 the entire collection of the Library of Congress
20 is stored on devices the size of a sugar cube;

21 (B) manufacturing that requires less mate-
22 rial, pollutes less, and is embedded with sophis-
23 ticated sensors that will internally detect signs
24 of weakness and automatically respond by re-
25 leasing chemicals that will prevent damage;

1 (C) prosthetic and medical implants whose
2 surfaces are molecularly designed to interact
3 with the cells of the body;

4 (D) materials with an unprecedented com-
5 bination of strength, toughness, and lightness
6 that will enable land, sea, air, and space vehi-
7 cles to become lighter and more fuel efficient;

8 (E) selective membranes that can fish out
9 specific toxic or valuable particles from indus-
10 trial waste or that can inexpensively desalinate
11 sea water; and

12 (F) tiny robotic spacecraft that will cost
13 less, consume very little power, adapt to unex-
14 pected environments, change its capabilities as
15 needed, and be completely autonomous.

16 (3) Long-term, high-risk research is necessary
17 to create breakthroughs in technology. Such research
18 requires government funding since the benefits are
19 too distant or uncertain for industry alone to sup-
20 port. Current Federal investments in nanotechnology
21 research and development are not grounded in any
22 specifically authorized statutory foundation. As a re-
23 sult, there is a risk that future funding for long-
24 term, innovative research will be tentative and sub-
25 ject to instability which could threaten to hinder fu-

1 ture United States technological and economic
2 growth.

3 (4) The Federal government can play an impor-
4 tant role in the development of nanotechnology, as
5 this science is still in its infancy, and it will take
6 many years of sustained investment for this field to
7 achieve maturity.

8 (5) Many foreign countries, companies and sci-
9 entists believe that nanotechnology will be the lead-
10 ing technology of the 21st century and are investing
11 heavily into its research. According to a study of
12 international nanotechnology research efforts spon-
13 sored by the National Science and Technology Coun-
14 cil, the United States is at risk of falling behind its
15 international competitors, including Japan, South
16 Korea, and Europe if it fails to sustain broad based
17 funding in nanotechnology. The United States can-
18 not afford to fall behind our competitors if we want
19 to maintain our economic strength.

20 (6) Advances in nanotechnology stemming from
21 Federal investments in fundamental research and
22 subsequent private sector development likely will cre-
23 ate technologies that support the work and improve
24 the efficiency of the Federal government, and con-

1 tribute significantly to the efforts of the govern-
2 ment's mission agencies.

3 (7) According to various estimates, including
4 those of the National Science Foundation, the mar-
5 ket for nanotech products and services in the United
6 States alone could reach over \$1 trillion later this
7 century.

8 (8) Nanotechnology will evolve from modern ad-
9 vances in chemical, physical, biological, engineering,
10 medical, and materials research, and will contribute
11 to cross-disciplinary training of the 21st century
12 science and technology workforce.

13 (9) Mastering nanotechnology will require a
14 unique skill set for scientists and engineers that
15 combine chemistry, physics, material science, and in-
16 formation science. Funding in these critical areas
17 has been flat for many years and as a result fewer
18 young people are electing to go into these areas in
19 graduate schools throughout the United States. This
20 will have to reverse if we hope to develop the next
21 generation of skilled workers with multi-disciplinary
22 perspectives necessary for the development of
23 nanotechnology.

24 (10) Research on nanotechnology creates un-
25 precedented capabilities to alter ourselves and our

1 environment and will give rise to a host of novel so-
2 cial, ethical, philosophical, and legal issues. To ap-
3 propriately address these issues will require wide re-
4 flection and guidance that are responsive to the re-
5 alities of the science, as well as additional research
6 to predict, understand, and alleviate anticipated
7 problems.

8 (11) Nanotechnology will provide structures to
9 enable the revolutionary concept of quantum com-
10 puting, which uses quantum mechanical properties
11 to do calculation. Quantum computing permits a
12 small number of atoms to potentially store and proc-
13 ess enormous amounts of information. Just 300
14 interacting atoms in a quantum computer could
15 store as much information as a classical electronic
16 computer that uses all the particles in the universe,
17 and today's complex encryption algorithms, which
18 would take today's best super computer 20 billion
19 years, could be cracked in 30 minutes.

20 (12) The Executive Branch has previously es-
21 tablished a National Nanotechnology Initiative to co-
22 ordinate Federal nanotechnology research and devel-
23 opment programs. This initiative has contributed
24 significantly to the development of nanotechnology.
25 Authorizing legislation can serve to establish new

1 technology goals and research directions, improve
2 agency coordination and oversight mechanisms, help
3 ensure optimal returns to investment, and simplify
4 reporting, budgeting, and planning processes for the
5 Executive Branch and the Congress.

6 (13) The private sector technology innovations
7 that grow from fundamental nanotechnology re-
8 search are dependent on a haphazard, expensive,
9 and generally inefficient technology transition path.
10 Strategies for accelerating the transition of funda-
11 mental knowledge and innovations in commercial
12 products or to support mission agencies should be
13 explored, developed, and when appropriate, executed.

14 (14) Existing data on the societal, ethical, edu-
15 cational, legal, and workforce implications and issues
16 related to nanotechnology are lacking. To help deci-
17 sion-makers and affected parties better anticipate
18 issues likely to arise with the onset and maturation
19 of nanotechnology, research and studies on these
20 issues must be conducted and disseminated.

21 **SEC. 3. PURPOSE.**

22 It is the purpose of this Act to authorize a coordi-
23 nated inter-agency program that will support long-term
24 nanoscale research and development leading to potential
25 breakthroughs in areas such as materials and manufac-

1 turing, nanoelectronics, medicine and healthcare, environ-
2 ment, energy, chemicals, biotechnology, agriculture, infor-
3 mation technology, and national and homeland security.

4 **SEC. 4. NATIONAL NANOTECHNOLOGY RESEARCH PRO-**
5 **GRAM.**

6 (a) NATIONAL NANOTECHNOLOGY RESEARCH PRO-
7 GRAM.—The President shall establish a National
8 Nanotechnology Research Program. Through appropriate
9 agencies, councils, and the National Coordination Office,
10 the program shall—

11 (1) establish the goals, priorities, grand chal-
12 lenges, and metrics for evaluation for Federal
13 nanotechnology research, development, and other ac-
14 tivities;

15 (2) invest in Federal research and development
16 programs in nanotechnology and related sciences to
17 achieve those goals; and

18 (3) provide for interagency coordination of Fed-
19 eral nanotechnology research, development, and
20 other activities undertaken pursuant to the program.

21 (b) GOALS OF THE NATIONAL NANOTECHNOLOGY
22 RESEARCH PROGRAM.—The goals of the program are as
23 follows:

24 (1) The coordination of long-term fundamental
25 nanoscience and engineering research to build a fun-

1 damental understanding of matter enabling control
2 and manipulation at the nanoscale.

3 (2) The assurance of continued United States
4 global leadership in nanotechnology to meet national
5 goals and to support national economic, health, na-
6 tional security, educational, and scientific interests.

7 (3) The advancement of United States produc-
8 tivity and industrial competitiveness through stable,
9 consistent, and coordinated investments in long-term
10 scientific and engineering research in
11 nanotechnology.

12 (4) The development of a network of shared
13 academic facilities and technology centers that will
14 play a critical role in accomplishing the other goals
15 of the program, foster partnerships, and develop and
16 utilize next generation scientific tools.

17 (5) The development of enabling infrastructural
18 technologies that United States industry can use to
19 commercialize new discoveries and innovations in
20 nanoscience.

21 (6) The acceleration of the deployment and
22 transition of advanced and experimental
23 nanotechnology and concepts into the private sector.

24 (7) The establishment of a program designed to
25 provide effective education and training for the next

1 generation of researchers and professionals skilled in
2 the multi disciplinary perspectives necessary for
3 nanotechnology.

4 (8) To ensure that philosophical, ethical, and
5 other societal concerns will be considered alongside
6 the development of nanotechnology.

7 (c) RESEARCH AND DEVELOPMENT AREAS.—
8 Through its participating agencies, the Nanotechnology
9 Research and Development Program shall develop, fund,
10 and manage Federal research programs in the following
11 areas:

12 (1) LONG-TERM FUNDAMENTAL RESEARCH.—
13 The program shall undertake long-term basic
14 nanoscience and engineering research that focuses
15 on fundamental understanding and synthesis of
16 nanometer-size building blocks with potential for
17 breakthroughs in areas such as materials and manu-
18 facturing, nanoelectronics, medicine and healthcare,
19 environment, energy, chemical and pharmaceuticals
20 industries, biotechnology and agriculture, computa-
21 tion and information technology, and national secu-
22 rity. Funds made available from the appropriate
23 agencies under this paragraph shall be used—

24 (A) to provide awards of less than
25 \$1,000,000 each to single investigators and

1 small groups to provide sustained support to in-
2 dividual investigators and small groups con-
3 ducting fundamental, innovative research; and

4 (B) to fund fundamental research and the
5 development of university-industry-laboratory
6 and interagency partnerships.

7 (2) GRAND CHALLENGES.—The program shall
8 support grand challenges that are essential for the
9 advancement of the field and interdisciplinary re-
10 search and education teams, including multidisci-
11 plinary nanotechnology research centers, that work
12 on major long-term objectives. This funding area will
13 fund, through participatig agencies, interdisciplinary
14 research and education teams that aim to achieve
15 major, long-term objectives, such as the following:

16 (A) Nanomaterials by design which are
17 stronger, lighter, harder, self-repairing, and
18 safer.

19 (B) Nanoelectronics, optoelectronics, and
20 magnetics.

21 (C) Healthcare applications.

22 (D) Nanoscale processes and environment.

23 (E) Energy and energy conservation.

24 (F) Microspacecraft.

1 (G) Bio-nanodevices for detection and miti-
2 gation of biothreats to humans.

3 (H) Economical, efficient, and safe trans-
4 portation.

5 (I) National security.

6 (J) Other appropriate challenges.

7 (3) INTERDISCIPLINARY NANOTECHNOLOGY RE-
8 SEARCH CENTERS.—The appropriate agencies shall
9 fund 10 new centers in the range of \$3,000,000 to
10 \$5,000,000 per year each for 5 years. A grant under
11 this paragraph to a center may be renewed for 1 5-
12 year term on the basis of that center’s performance,
13 determined after a review. The program, through its
14 participating agencies, shall encourage research net-
15 working among centers and researchers and require
16 access to facilities to both academia and industry.
17 The centers shall assist in reaching other initiative
18 priorities, including fundamental research, grand
19 challenges, education, development and utilization of
20 specific research tools, and promoting partnerships
21 with industry. To the greatest extent possible, agen-
22 cies participating in the program shall establish geo-
23 graphically diverse centers including at least one
24 center in a State participating in the National
25 Science Foundation’s (NSF) Experimental Program,

1 to Stimulate Competitive Research (EPSCoR), es-
2 tablished under section 113 of the NSF Authoriza-
3 tion Act of 1988 (42 U.S.C. 1862(g)).

4 (4) RESEARCH INFRASTRUCTURE.—The pro-
5 gram, through its participating agencies, shall en-
6 sure adequate research infrastructure and equipment
7 for rapid progress on program goals, including the
8 employment of underutilized manufacturing facilities
9 in areas of high unemployment as production engi-
10 neering and research testbeds for micron-scale tech-
11 nologies. Major research equipment and instrumen-
12 tation shall be an eligible funding purpose under the
13 program.

14 (5) SOCIETAL, ETHICAL, EDUCATIONAL, LEGAL,
15 AND WORKFORCE ISSUES RELATED TO NANO-
16 TECHNOLOGY.—The Director of the National
17 Science Foundation shall establish a new Center for
18 Ethical, Societal, Educational, Legal, and Workforce
19 Issues Related to Nanotechnology at \$5,000,000 per
20 year to encourage, conduct, coordinate, commission,
21 collect, and disseminate research on the societal, eth-
22 ical, educational, legal, and workforce issues related
23 to nanotechnology. The Center shall also conduct
24 studies and provide input and assistance to the Di-
25 rector of the National Science Foundation in com-

1 pleting the annual report required under paragraph
2 7(b)(3) of this Act.

3 (6) TRANSITION OF TECHNOLOGY.—The pro-
4 gram, through its participating agencies, shall en-
5 sure cooperation and collaboration with United
6 States industry in all relevant research efforts and
7 develop mechanisms to assure prompt technology
8 transition.

9 **SEC. 5. PROGRAM COORDINATION AND MANAGEMENT.**

10 (a) IN GENERAL.—The National Science and Tech-
11 nology Council shall oversee the planning, management,
12 and coordination of the Federal nanotechnology research
13 and development program. The Council, itself or through
14 an appropriate subgroup it designates or establishes,
15 shall—

16 (1) establish a set of broad applications of
17 nanotechnology research and development, or grand
18 challenges, to be met by the results and activities of
19 the program, based on national needs;

20 (2) submit to the Congress through the Senate
21 Committee on Commerce, Science, and Transpor-
22 tation, and the House of Representatives Committee
23 on Science, an annual report, along with the Presi-
24 dent's annual budget request, describing the imple-
25 mentation of the program under section 4;

1 (3) provide for interagency coordination of the
2 program, including with the Department of Defense;

3 (4) coordinate the budget requests of each of
4 the agencies involved in the program with the Office
5 of Management and Budget to ensure that a bal-
6 anced research portfolio is maintained in order to
7 ensure the appropriate level of research effort;

8 (5) provide guidance each year to the partici-
9 pating departments and agencies concerning the
10 preparation of appropriations requests for activities
11 related to the program;

12 (6) consult with academic, industry, State and
13 local government, and other appropriate groups con-
14 ducting research on and using nanotechnology;

15 (7) establish an Information Services and Ap-
16 plications Council to promote access to and early ap-
17 plication of the technologies, innovations, and exper-
18 tise derived from nanotechnology research and devel-
19 opment program activities to agency missions and
20 systems across the Federal government, and to
21 United States industry;

22 (8) in cooperation with the Advisory Panel es-
23 tablished under subsection (b), develop and apply
24 measurements using appropriate metrics for evalu-

1 ating program performance and progress toward
2 goals; and

3 (9) identify research areas which are not being
4 adequately addressed by the agencies' current re-
5 search programs.

6 (b) PRESIDENT'S NANOTECHNOLOGY ADVISORY
7 PANEL.—

8 (1) ESTABLISHMENT.—The President shall es-
9 tablish a National Nanotechnology Advisory Panel.

10 (2) SELECTION PROCEDURES.—The President
11 shall establish procedures for the selection of individ-
12 uals not employed by the Federal government who
13 are qualified in the science of nanotechnology and
14 other appropriate fields and may, pursuant to such
15 procedures, select up to 20 individuals, one of whom
16 shall be designated Chairman, to serve on the Advi-
17 sory Panel. Selection of individuals for the Advisory
18 Panel shall be based solely on established records of
19 distinguished fundamental and applied scientific
20 service, and the panel shall contain a reasonable
21 cross-section of views and expertise, including those
22 regarding the societal, ethical, educational, legal,
23 and workforce issues related to nanotechnology. In
24 selecting individuals to serve on the Advisory Panel,
25 the President shall seek and give due consideration

1 to recommendations from the Congress, industry,
2 the scientific community (including the National
3 Academy of Sciences), scientific professional soci-
4 eties, academia, the defense community, the edu-
5 cation community, State and local governments, and
6 other appropriate organizations.

7 (3) MEETINGS.—The Advisory Panel shall meet
8 no less than twice annually, at such times and places
9 as may be designated by the Chairman in consulta-
10 tion with the National Nanotechnology Coordination
11 Office established under subsection 5(c) of this Act.

12 (4) DUTIES.—The Advisory Panel shall advise
13 the President and the National Science and Tech-
14 nology Council, and inform the Congress, on matters
15 relating to the National Nanotechnology Program,
16 including goals, roles, and objectives within the pro-
17 gram, its capabilities and research needs, guidance
18 on achieving major objectives, and establishing and
19 measuring performance goals using appropriate
20 metrics. The Advisory Panel shall issue an annual
21 report, containing the information required by sub-
22 section (d) of this section, to the President, the
23 Council, the heads of each agency involved in the
24 program, the Senate Committee on Commerce,
25 Science, and Transportation, and the House of Rep-

1 representatives Committee on Science, on or before Sep-
2 tember 30 of each year.

3 (c) NATIONAL NANOTECHNOLOGY COORDINATION
4 OFFICE.—The President shall establish a National
5 Nanotechnology Coordination Office, with full-time staff,
6 to provide day-to-day technical and administrative support
7 to the Council and the Advisory Panel, and to be the point
8 of contact on Federal nanotechnology activities for govern-
9 ment organizations, academia, industry, professional soci-
10 eties, and others to exchange technical and programmatic
11 information. The Office shall assure full coordination of
12 research efforts between agencies, scientific disciplines,
13 and United States industry.

14 (d) PROGRAM PLANS AND REPORTS.—

15 (1) ANNUAL EVALUATION OF NANOTECH-
16 NOLOGY RESEARCH DEVELOPMENT PROGRAM.—The
17 report by the Advisory Panel, required pursuant to
18 subsection (b)(4), shall include—

19 (A) a review of the program’s technical
20 success in achieving the stated goals and grand
21 challenges according to the metrics established
22 by the program and Advisory Panel;

23 (B) a review of the program’s management
24 and coordination;

1 (C) a review of the funding levels by each
2 agency for the program's activities and their
3 ability to achieve the program's stated goals
4 and grand challenges;

5 (D) a review of the balance in the pro-
6 gram's portfolio and components across agen-
7 cies and disciplines;

8 (E) an assessment of the degree of partici-
9 pation in the program by minority serving insti-
10 tutions and institutions located in States par-
11 ticipating in NSF's EPSCoR program.

12 (F) a review of policy issues resulting from
13 advancements in nanotechnology and its effects
14 on the scientific enterprise, commerce, work-
15 force, competitiveness, national security, medi-
16 cine, and government operations;

17 (G) recommendations for new program
18 goals and grand challenges;

19 (H) recommendations for new research
20 areas, partnerships, coordination and manage-
21 ment mechanisms, or programs to be estab-
22 lished to achieve the program's stated goals and
23 grand challenges;

24 (I) recommendations for new investments
25 by each participating agency in each program

1 funding area for the 5-year period following the
2 delivery of the report;

3 (J) reviews and recommendations regard-
4 ing other issues deemed pertinent or specified
5 by the panel; and

6 (K) a technology transition study which in-
7 cludes an evaluation of the Federal
8 nanotechnology research and development pro-
9 gram's success in transitioning its research,
10 technologies, and concepts into commercial and
11 military products, including—

12 (i) examples of successful transition of
13 research, technologies, and concepts from
14 the Federal nanotechnology research and
15 development program into commercial and
16 military products;

17 (ii) best practices of universities, gov-
18 ernment, and industry in promoting effi-
19 cient and rapid technology transition in the
20 nanotechnology sector;

21 (iii) barriers to efficient technology
22 transition in the nanotechnology sector, in-
23 cluding, but not limited to, standards, pace
24 of technological change, qualification and

1 testing of research products, intellectual
2 property issues, and Federal funding; and
3 (iv) recommendations for government
4 sponsored activities to promote rapid tech-
5 nology transition in the nanotechnology
6 sector.

7 (2) OFFICE OF MANAGEMENT AND BUDGET RE-
8 PORT.—

9 (A) BUDGET REQUEST REPORT.—Each
10 Federal agency and department participating in
11 the program shall, as part of its annual request
12 for appropriations, submit a report to the Office
13 of Management and Budget which—

14 (i) identifies each element of its
15 nanotechnology research and development
16 activities that contributes directly to the
17 program or benefits from the program;

18 (ii) states the portion of its request
19 for appropriations that is allocated to each
20 such element; and

21 (iii) states the portion of its request
22 for appropriations that is allocated to each
23 program funding area.

24 (B) OMB REVIEW AND ALLOCATION
25 STATEMENT.—The Office of Management and

1 Budget shall review each report in light of the
2 goals, priorities, grand challenges, and agency
3 and departmental responsibilities set forth in
4 the annual report of the Council under para-
5 graph (3), and shall include in the President’s
6 annual budget estimate, a statement delineating
7 the amount and portion of each appropriate
8 agency’s or department’s annual budget esti-
9 mate relating to its activities undertaken pursu-
10 ant to the program.

11 (3) ANNUAL NSTC REPORT TO CONGRESS ON
12 THE NANOTECHNOLOGY RESEARCH DEVELOPMENT
13 PROGRAM.—The National Science and Technology
14 Council shall submit an annual report to the Con-
15 gress that—

16 (A) includes a detailed description of the
17 goals, grand challenges, and program funding
18 areas established by the President for the pro-
19 gram;

20 (B) sets forth the relevant programs and
21 activities, for the fiscal year with respect to
22 which the budget submission applies, of each
23 Federal agency and department, participating
24 in the program, as well as such other agencies

1 and departments as the President or the Direc-
2 tor considers appropriate;

3 (C) describes the levels of Federal funding
4 for the fiscal year during which such report is
5 submitted, and the levels proposed for the fiscal
6 year with respect to which the budget submis-
7 sion applies, for each of the program funding
8 areas of the program;

9 (D) describes the levels of Federal funding
10 for each agency and department participating
11 in the program and each program funding area
12 for the fiscal year during which such report is
13 submitted, and the levels proposed for the fiscal
14 year with respect to which the budget submis-
15 sion applies, and compare these levels to the
16 most recent recommendations of the Advisory
17 Panel and the external review of the program;

18 (E) describes coordination and partnership
19 activities with State, local, international, and
20 private sector efforts in nanotechnology re-
21 search and development, and how they support
22 the goals of the program;

23 (F) describes mechanisms and efforts used
24 by the program to assist in the transition of in-
25 novative concepts and technologies from Feder-

ally funded programs into the commercial sector, and successes in these transition activities;

(G) describes coordination between the military and civilian portions, as well as the life science and non-life science portions, of the program in technology development, supporting the goals of the program, and supporting the mission needs of the departments and agencies involved;

(H) analyzes the progress made toward achieving the goals, priorities, and grand challenges designated for the program according the metrics established by the program and the Advisory Panel; and

(I) recommends new mechanisms of coordination, program funding areas, partnerships, or activities necessary to achieve the goals, priorities and, grand challenges established for the program.

(4) TRIENNIAL EXTERNAL REVIEW OF NANOTECHNOLOGY RESEARCH AND DEVELOPMENT PROGRAM.—

(A) IN GENERAL.—Not later than 6 months after the date of enactment of this Act, the Director of the National Science Founda-

tion shall enter into an arrangement with the National Research Council of the National Academy of Sciences to conduct a triennial evaluation of the Federal nanotechnology research and development program, including—

(i) a review of the technical success of the program in achieving the stated goals and grand challenges under the metrics established by the program and the nanotechnology Advisory Panel, and under other appropriate measurements;

(ii) a review of the program's management and coordination across agencies and disciplines;

(iii) a review of the funding levels by each agency for the program's activities and their ability with such funding to achieve the program's stated goals and grand challenges;

(iv) recommendations for new or revised program goals and grand challenges;

(v) recommendations for new research areas, partnerships, coordination and management mechanisms, or programs to be

1 established to achieve the program's stated
2 goals and grand challenges;

3 (vi) recommendations for investment
4 levels in light of goals by each partici-
5 pating agency in each program funding
6 area for the 5-year period following the de-
7 livery of the report;

8 (vii) recommendations on policy, pro-
9 gram, and budget changes with respect to
10 nanotechnology research and development
11 activities;

12 (viii) recommendations for improved
13 metrics to evaluate the success of the pro-
14 gram in accomplishing its stated goals; and

15 (ix) a review of the performance of
16 the Information Services and Applications
17 Council and its efforts to promote access
18 to and early application of the tech-
19 nologies, innovations, and expertise derived
20 from program activities to agency missions
21 and systems across the Federal govern-
22 ment and to United States industry.

23 (B) EVALUATION TO BE TRANSMITTED TO
24 CONGRESS.—The Director of the National
25 Science Foundation shall transmit the results of

any evaluation for which it made arrangements under subparagraph (A) to the Senate Committee on Commerce, Science, and Transportation and the House of Representatives Committee on Science upon receipt. The first such evaluation shall be transmitted no later than 12 months after the date of the enactment of this Act, with subsequent evaluations transmitted to the Committees every 3 years thereafter.

SEC. 6. AUTHORIZATION OF APPROPRIATIONS.

(a) NATIONAL SCIENCE FOUNDATION.—

(1) GENERAL AUTHORIZATION.—There are authorized to be appropriated to the Director of the National Science Foundation to carry out the Director's responsibilities under this Act—

(A) \$221,000,000 for fiscal year 2003; and

(B) \$254,150,000 for fiscal year 2004.

(2) SPECIFIC ALLOCATIONS.—

(A) INTERDISCIPLINARY NANOTECHNOLOGY RESEARCH CENTERS.—Of the amounts described in paragraph (1), \$40,000,000 for fiscal year 2003, \$50,000,000 for fiscal year 2004, shall be available for grants of up to \$5,000,000 each for multidisciplinary nanotechnology research centers.

1 (B) CENTER FOR SOCIETAL, ETHICAL,
2 EDUCATIONAL, LEGAL, AND WORKFORCE
3 ISSUES RELATED TO NANOTECHNOLOGY.—Of
4 the sums authorized for the National Science
5 Foundation each fiscal year, \$5,000,000 shall
6 be used to establish a university-based Center
7 for Societal, Ethical, Educational, Legal, and
8 Workforce Issues Related to Nanotechnology.

9 (C) NATIONAL NANOTECHNOLOGY COORDI-
10 NATION OFFICE.—Of the sums authorized for
11 the National Science Foundation each fiscal
12 year, \$5,000,000 shall be used for the activities
13 of the Nanotechnology Coordination Office.

14 (D) GAP FUNDING THROUGH THE SCIENCE
15 AND TECHNOLOGY POLICY INSTITUTE.—Of the
16 sums authorized for the National Science Foun-
17 dation each fiscal year, \$5 million shall be for
18 the Science and Technology Policy Institute, in
19 consultation with the Office of Science and
20 Technology Policy, for use in competitive grants
21 to address research areas identified by the
22 council under section 5(a)(9) of this Act. Such
23 grants may be made to government or non-gov-
24 ernment awardees.

1 (b) DEPARTMENT OF ENERGY.—There are author-
2 ized to be appropriated to the Secretary of Energy to carry
3 out the Secretary’s responsibilities under this Act—

4 (1) \$139,300,000 for fiscal year 2003; and

5 (2) \$160,195,000 for fiscal year 2004.

6 (c) NATIONAL AERONAUTICS AND SPACE ADMINIS-
7 TRATION.—There are authorized to be appropriated to the
8 Administrator of the National Aeronautics and Space Ad-
9 ministration to carry out the Administrator’s responsibil-
10 ities under this Act—

11 (1) \$22,000,000 for fiscal year 2003; and

12 (2) \$25,300,000 for fiscal year 2004.

13 (d) NATIONAL INSTITUTES OF HEALTH.—There are
14 authorized to be appropriated to the Director of the Na-
15 tional Institutes to carry out the Director’s responsibilities
16 under this Act—

17 (1) \$43,200,000 for fiscal year 2003; and

18 (2) \$49,680,000 for fiscal year 2004.

19 (e) NATIONAL INSTITUTE OF STANDARDS AND
20 TECHNOLOGY.—There are authorized to be appropriated
21 to the Director of the National Institute of Standards and
22 Technology to carry out the Director’s responsibilities
23 under this Act—

24 (1) \$44,000,000 for fiscal year 2003; and

25 (2) \$50,600,000 for fiscal year 2004;

1 (f) ENVIRONMENTAL PROTECTION AGENCY.—There
 2 are authorized to be appropriated to the Administrator of
 3 the Environmental Protection Agency to carry out the Ad-
 4 ministrator’s responsibilities under this Act—

5 (1) \$5,000,000 for fiscal year 2003; and

6 (2) \$5,750,000 for fiscal year 2004.

7 (g) DEPARTMENT OF JUSTICE.—There are author-
 8 ized to be appropriated to the Director of the National
 9 Institute of Justice to carry out the Director’s responsibil-
 10 ities under this Act—

11 (1) \$1,400,000 for fiscal year 2003; and

12 (2) \$1,610,000 for fiscal year 2004.

13 **SEC. 7. ADDITIONAL REPORTS, STUDIES, AND PLANS.**

14 (a) INTERNATIONAL BENCHMARKING STUDIES.—

15 (1) UNITED STATES STANDING TO BE MON-
 16 ITORED.—In order to maintain world leadership in
 17 nanotechnology, the program established under sec-
 18 tion 4(a) shall monitor the United States’ standing
 19 in the key research fields that support technological
 20 innovation.

21 (2) BIENNIAL NSTC STUDY OF RELATIVE
 22 UNITED STATES POSITION.—Not later than 3
 23 months after the date of enactment of this Act, the
 24 President, through the Council, shall enter into an
 25 arrangement with the National Research Council of

1 the National Academy of Sciences to conduct a bien-
2 nial study of the relative position of United States
3 compared to other nations with respect to
4 nanotechnology research and development.

5 (3) ISSUES TO BE ADDRESSED.—The study re-
6 quired by paragraph (2) shall address, among other
7 issues—

8 (A) the current and likely future relative
9 position of United States private sector, aca-
10 demic, and government research in
11 nanotechnology relative to other nations;

12 (B) niche nanotechnology research areas
13 where the United States is trailing other na-
14 tions;

15 (C) critical research areas where the
16 United States should be the world leader to
17 best achieve the goals of the Federal
18 nanotechnology research and development pro-
19 gram;

20 (D) key factors influencing relative United
21 States performance in this field; and

22 (E) institutional, funding, and human-re-
23 source factors that are critical to maintaining
24 leadership status in this field.

1 (4) ACTION PLAN.—Not less than 6 months
2 after receipt of each study, the Council shall develop
3 a plan for addressing the issues raised in the study.

4 The plan shall include—

5 (A) investment strategies for addressing
6 the issues raised in the report;

7 (B) strategies for promoting international
8 research cooperation to leverage international
9 niches of excellence identified by the report; and

10 (C) institutional and human-resource
11 changes to be made to achieve or maintain lead-
12 ership status in this field.

13 (5) TRANSMITTAL TO CONGRESS.—The Council
14 shall submit the study required by paragraph (2)
15 and the plan required by paragraph (4) to the Sen-
16 ate Committee on Commerce, Science, and Trans-
17 portation and the House of Representatives Com-
18 mittee on Science, not later than 18 months after
19 the date of enactment of this Act and every 2 years
20 thereafter.

21 (b) SOCIETAL, ETHICAL, EDUCATION, LEGAL, AND
22 WORKFORCE ISSUES RELATED TO NANOTECHNOLOGY.—

23 (1) STUDIES.—The Director of the National
24 Science Foundation shall encourage, conduct, coordi-
25 nate, commission, collect, and disseminate studies on

1 the societal, ethical, educational, and workforce im-
2 plications of nanotechnology through the Center for
3 Societal, Ethical, Educational, and Workforce Issues
4 established under section 4(c)(5). The studies shall
5 identify anticipated issues and problems, as well as
6 provide recommendations for preventing or address-
7 ing such issues and problems.

8 (2) DATA COLLECTION.—The Director of the
9 National Science Foundation shall collect data on
10 the size of the anticipated nanotechnology workforce
11 need by detailed occupation, industry, and firm char-
12 acteristics, and assess the adequacy of the trained
13 talent pool in the United States to fill such work-
14 force needs.

15 (3) ANNUAL REPORT.—The Director of the Na-
16 tional Science Foundation shall compile the studies
17 required by paragraph (2) and, with the assistance
18 of the Center for Ethical, Societal, Educational,
19 Legal, and Workforce Issues Related to
20 Nanotechnology established by paragraph 4(c)(5) if
21 this Act, shall complete a report that includes a de-
22 scription of the Center's activities, which shall be
23 submitted to the President, the Council, the Senate
24 Committee on Commerce, Science, and Transpor-
25 tation, and the House of Representatives Committee

1 on Science not later than 18 months after the date
2 of enactment of this Act.

3 **SEC. 8. DEFINITIONS.**

4 In this Act:

5 (1) **ADVISORY PANEL.**—The term “Advisory
6 Panel” means the President’s National
7 Nanotechnology Panel.

8 (2) **FUNDAMENTAL RESEARCH.**—The term
9 “fundamental research” means research that builds
10 a fundamental understanding and leads to discov-
11 eries of the phenomena, processes, and tools nec-
12 essary to control and manipulate matter at the
13 nanoscale.

14 (3) **GRAND CHALLENGE.**—The term “grand
15 challenge” means a fundamental problem in science
16 or engineering, with broad economic and scientific
17 impact, whose solution will require the application of
18 nanotechnology.

19 (4) **INTERDISCIPLINARY NANOTECHNOLOGY RE-**
20 **SEARCH CENTER.**—The term “interdisciplinary
21 nanotechnology research center” means a group of 6
22 or more researchers collaborating across scientific
23 and engineering disciplines on large-scale long-term
24 research projects that will significantly advance the
25 science supporting the development of

1 nanotechnology or the use of nanotechnology in ad-
2 dressing scientific issues of national importance,
3 consistent with the goals set forth in section 4(b).

4 (5) NANOTECHNOLOGY.—The term
5 “nanotechnology” means the ability to work at the
6 molecular level, atom-by-atom, to create large struc-
7 tures with fundamentally new molecular organiza-
8 tion.

9 (6) PROGRAM.—The term “program” means
10 the national nanotechnology research program estab-
11 lished under section 4.

12 (7) RESEARCH INFRASTRUCTURE.—The term
13 “research infrastructure” means the measurement
14 science, instrumentation, modeling and simulation,
15 and user facilities needed to develop a flexible and
16 enabling infrastructure so that United States indus-
17 try can rapidly commercialize new discoveries in
18 nanotechnology.

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